

2122
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Wendy Scott

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PATENT APPLICATION
Attorney Docket No. SUN-P5546

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF

Naveen Kumar

Serial No. 09/822,164

Filing Date: March 30, 2001

Title: APPARATUS AND METHOD FOR AUTO-
MATED CREATION OF RESOURCE TYPES)

)
) Examiner: Rutten, James D.

)
) Group Art Unit: 2122

)

)

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COMMUNICATION

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Sir:

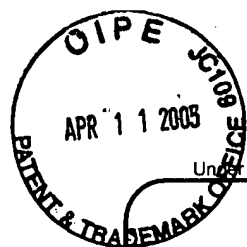
Enclosed herewith for filing in the above-captioned patent application is an executed Power of Attorney and Correspondence Address Indication Form (with revocation of prior powers), a Statement under 37 CFR 3.73(b) Form PTO/SB/96 and a copy of the Notice of Recordation of Assignment document.

Respectfully submitted,

By:

A. Richard Park
A. Richard Park
Reg. No. 41,241

Park, Vaughan & Fleming LLP
2820 Fifth Street
Davis, CA 95616
(530) 759-1661
Date: April 8, 2005



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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	09/822,164
Filing Date	March 30, 2001
First Named Inventor	Naveen Kumar
Title	Apparatus and Method for Automated Creation of Resource types
Art Unit	2122
Examiner Name	Frances Hicks
Attorney Docket Number	SUN-P5546

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

22835

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OR

☐ Practitioner(s) named below:

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Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature	<i>Peter Y. Wang</i>	Date	APR 5, 2005
Name	Peter Y. Wang	Telephone	650-786-3089
Title and Company	Assistant General Counsel SUN Microsystems, Inc.		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: SUN MICROSYSTEMS, INC.Application No./Patent No.: 09/822,164 Filed/Issue Date: March 30, 2001Entitled: APPARATUS AND METHOD FOR AUTOMATED CREATION OF RESOURCE TYPESSUN MICROSYSTEMS, INC., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. [☒] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011666, Frame 0388, or for which a copy thereof is attached.

OR

B. [☐] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[☐] Additional documents in the chain of title are listed on a supplemental sheet.

- [☐] Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

April 8, 2005

Date

(530) 759-1660

Telephone number

A. Richard Park (Reg. No. 41,241)

Typed or printed name

Signature

Attorney of Record

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 18, 2001

SUN MICROSYSTEMS, INC.
PTAS



101663645A

SUN MICROSYSTEMS, INC.
ANIRMA R. GUPTA
901 SAN ANTONIO ROAD
M/S: PAL01-521
PALO ALTO, CA 94303

JUN 22 2001

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/30/2001

REEL/FRAME: 011666/0388
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
KUMAR, NAVEEN

DOC DATE: 03/29/2001

ASSIGNEE:
SUN MICROSYSTEMS, INC.
901 SAN ANTONIO ROAD M/S: PAL01-521
PALO ALTO, CALIFORNIA 94303

SERIAL NUMBER: 09822164
PATENT NUMBER:

FILING DATE: 03/30/2001
ISSUE DATE:

C.T.

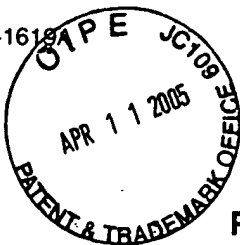
JUN 27 2001

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

P5546 NP

Pat.	Country	US
Sun Atty	Attorney	SOLARIS
Person	ORIG. RECORDED	ASSIGN. REC'D
Acq.	FF - AGENY	DATE 9/25/01
FF		3/30/02
1st	CA?	3/30/03
	WLC	4/25/01

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04-16-2001



101663645

U.S. Department of Commerce
Patent and Trademark Office
PATENT

SUN Ref. No.: P5546- US

**RECORDATION FORM COVER SHEET
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U.S. PTO
09/822164
03/30/01

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies)

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation) Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

3/30/01

Conveyance Type

- ☒ Assignment
☐ License
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Entitled: APPARATUS AND METHOD FOR AUTOMATED CREATION OF RESOURCE TYPES

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) KUMAR, Naveen

Execution Date

Month Day Year

Name (line 2)

03 29 01

Second Party

Name (line 1)

Execution Date

Month Day Year

Name (line 2)

09822164

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Sun Microsystems, Inc.

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 901 San Antonio Road

Address (line 2) M/S: PAL01-521

Address (line 3) Palo Alto California 94303
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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PATENT
P5546 US

Correspondent Name and Address

Area Code and Telephone Number

(650) 786-2450

Name

Anirima R. Gupta

Address (line 1)

Sun Microsystems, Inc.

Address (line 2)

901 San Antonio Road

Address (line 3)

M/S: PAL01-521

Address (line 4)

Palo Alto, California 94303

Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

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2

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

03 29 01

**Patent Cooperation Treaty
(PCT)**

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

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PCT

PCT

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Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

40.00

Method of payment:

Enclosed ☐

Deposit Account ☒

Deposit Account - Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number

19-4974

Authorization to charge additional fees Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Anirima R. Gupta
Anirima R. Gupta (Reg. No.: 38,275)

Signature

Date

3/30/01

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to **SUN MICROSYSTEMS, INC.** (the Assignee), a corporation organized and existing under and by virtue of the laws of the State of **Delaware**, and having its principal place of business at **901 San Antonio Road, Palo Alto, California 94303** their entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned, and is entitled, **APPARATUS AND METHOD FOR AUTOMATED CREATION OF RESOURCE TYPES**, as filed herewith, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

COVENANT AND AGREE that the above is and will be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

By: Naveen Kumar Date: 03/29/01
Naveen Kumar

Anirma R. Gupta
Registration Number: 38,275
Sun Microsystems, Inc.
901 San Antonio Road, MS: UPAL01-521
Palo Alto, CA. 94303